



United States Department of State

Washington, D.C. 20520

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November 26, 2014

ACTION MEMO FOR AMBASSADOR DEBORAH L. BIRX, AMBASSADOR-AT-LARGE AND COORDINATOR OF U.S. GOVERNMENT ACTIVITIES TO COMBAT HIV/AIDS

FROM: OGAC – Funmi Adesanya, Senior Country Lead

THRU: OGAC – Erica Sessle, Director of Country Oversight and Accountability

SUBJECT: Swaziland Country Operational Plan 2014 Approval

Recommendation

That you approve the Swaziland Country Operational Plan (COP) 2014 with a total spend of \$51,674,903 to achieve the targets listed in this memo, below. The FY 2014 funds are broken down as follows:

- New FY 2014 Funds: \$43,800,000 (all accounts, including Pre-COP);
- Prior Year Funds (Applied Pipeline Funds): \$7,874,903 (approved for COP 14 implementation).

The total amount spent (outlayed) during the 12 months of FY 2014 COP implementation will not exceed the total approved amount, \$51,674,903.

Please note that Swaziland was allowed to apply and expend \$7,874,903 of pipeline funds in excess of their original COP 2014 planning level in support of SHIMS. This approval is for these expenditures only, and does not indicate any decision regarding future planning levels and approved expenditures.

Approved funding will be transferred to agency headquarters for allocation to country as outlined below.

Approve _____
Date Dec 14

Disapprove _____

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Background

FY 2014 Funding Summary

	GHP-State	Pre-COP	GHP-State - CN	GHP-USAID	GAP	Applied Pipeline	Total
USAID - Approved	\$ 7,528,131		\$ 6,461,694	\$ 6,900,000	\$ -	\$ 3,994,505	\$ 24,884,330
<i>USAID - On Hold</i>	\$ -						
HHS/CDC - Approved	\$ 2,315,750	\$ 1,199,871	\$ 16,219,148	\$ -	\$ 487,500	\$ 3,153,185	\$ 23,375,454
<i>HHS/CDC - On Hold</i>	\$ -						
HHS/HRSA - Approved	\$ 21,000	\$ 4,000	\$ -	\$ -	\$ -	\$ -	\$ 25,000
<i>HHS/HRSA - On Hold</i>	\$ -						
DOD - Approved	\$ 885,144		\$ 50,000	\$ -	\$ -	\$ 350,000	\$ 1,285,144
<i>DOD - On Hold</i>	\$ -						
Peace Corps - Approved	\$ 1,035,522		\$ 77,240	\$ -	\$ -	\$ -	\$ 1,112,762
<i>Peace Corps - On Hold</i>	\$ -						
State - Approved	\$ 115,000		\$ -	\$ -	\$ -	\$ 377,213	\$ 492,213
<i>State - On Hold</i>	\$ -						
State/AF - Approved	\$ -		\$ 500,000	\$ -	\$ -	\$ -	\$ 500,000
<i>State/AF - On Hold</i>	\$ -						
Totals (Approved)	\$ 11,900,547	\$ 1,203,871	\$ 23,308,082	\$ 6,900,000	\$ 487,500	\$ 7,874,903	\$ 51,674,903
Totals (On Hold)	\$ -						\$ -
Total New Funds Approved for COP 14 (Including Pre-COP)							\$ 43,800,000
Total Applied Pipeline Approved for COP 14							\$ 7,874,903
Total on Hold (New and Applied Pipeline Funds)							\$ -
Total Spend Authorized for COP 14 (including approved and held funds)							\$ 51,674,903

GHP - State Funds: \$11,900,547

Upon signing this memo, GHP-State funds will move to agency headquarters (HQ) from OGAC for allocation to country via their internal processes.

Pre-COP Funds: \$1,203,871

Pre-COP Funds are GHP-State funds that have already been approved and have been distributed.

GHP - State CN Funds: \$23,308,082

State CN funds are GHP-State funds that must be cleared through a Congressional Notification (CN), and will be made available for transfer to agency HQs for allocation upon the clearance of the FY 2014 3rd CN (mechanisms detailed in Tab).

GHP- USAID Funds: \$6,900,000

Upon receipt of this signed memo, USAID may immediately begin using the CDC GAP funds for approved activities, as outlined in the COP.

CDC GAP Funds: \$487,500

Upon receipt of this signed memo, CDC may immediately begin using the CDC GAP funds for approved activities, as outlined in the COP.

Applied Pipeline Funds: \$7,874,903

Upon receipt of this signed memo, respective agencies may immediately begin using the prior year funds for approved as applied pipeline to fund activities, as outlined in the COP.

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FY 2015 Target Summary

FY 2014 funds are released to achieve the following results.

Program Area	Indicator	FY 2015 Target	
		DSD	TA
MTCT	PMTCT_ARV: Number of HIV-positive pregnant women who received antiretrovirals to reduce risk of mother-to-child-transmission (MTCT) during pregnancy and delivery	22	9,451
MTCT	PMTCT_STAT: Number of pregnant women with known HIV status (includes women who were tested for HIV and received their results)	22	27,860
MTCT	PMTCT_EID: Percentage of infants born to HIV-positive women who had a virologic HIV test done within 12 months of birth	97%	*
HTC	HTC_TST: Number of individuals who received Testing and Counseling (T&C) services for HIV and received their test results (DSD)	164,953	110,524
Treatment	TX_NEW: Number of adults and children newly enrolled on antiretroviral therapy (ART)	14,000	*
Treatment	TX_CURR: Number of adults and children receiving antiretroviral therapy (ART)	88,429	0
Treatment	TX_RET: Percentage of adults and children known to be alive and on treatment 12 months after initiation of antiretroviral therapy	90%	*
Care	CARE_CURR: Number of HIV positive adults and children who received at least one of the following during the reporting period: clinical assessment (WHO staging) OR CD4 count OR viral load (DSD)	105,929	0
VMMC	VMMC_CIRC: Number of males circumcised as part of the voluntary medical male circumcision (VMMC) for HIV prevention program within the reporting period	13,464	0
OVC	OVC_SERV: Number of active beneficiaries served by PEPFAR OVC programs for children and families affected by HIV/AIDS	32,080	8,640

This table reflects a prioritized subset of indicators. Note: The FY 2015 targets have been provisionally approved based on the COP14 submission. It is understood that the FY 2015 targets may be adjusted in the COP15 submission to account for ongoing finalization of mechanism-level targets.

* PEPFAR did not require 'TA targets' for this indicator in COP 2014.

N/A indicates the country did not submit targets for this indicator.

Redacted

Attachment:

Tab - COP 2014 Swaziland Mechanisms that are approved upon the clearance of the 3rd FY 2014 Congressional Notification

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Tab

COP 2014 Swaziland Mechanisms that are approved and for which funds will be made available for transfer to agency HQs upon the clearance of the 3rd FY 2014 Congressional Notification.

Table Redacted