

THE EMERGENCY PLAN FOR AIDS RELIEF

**Summary Financial Status as of June 30, 2014 (3rd Quarter - FY 2014)
Data for FYs 2004 - 2013 Appropriations**

September 2014

The Emergency Plan for AIDS Relief
Summary Financial Status By Appropriation As Of June 30, 2014
FY 2004 - 2013 Appropriations
(Dollars in Thousands)

Appropriation/Program	Approved	Total	Total	FY 2004 - 2013		Obligations *	Outlays *	Outlays *
	Planned FY 2004 - 2013	Expired Funds ⁴ FY 2004 - 2013	Available FY 2004 - 2013	Obligations	Outlays	as a % of Available	as a % of Available	as a % of Obligated
Foreign Operations:	43,562,780	13,261	43,549,519	40,873,191	37,231,677	93.8%	85.5%	91.1%
Child Survival and Health Programs ² /								
Global Health and Child Survival	4,839,669	3,761	4,835,908	4,732,591	4,420,725	97.8%	91.3%	93.4%
HIV/AIDS Programs	3,599,029	3,761	3,595,268	3,491,951	3,180,085	97.0%	88.4%	91.1%
The Global Fund	1,240,640	0	1,240,640	1,240,640	1,240,640	100.0%	100.0%	100.0%
Development Credit Authority (DCA) Fund ³	2,329	0	2,329	2,329	43	100.0%	1.8%	1.8%
Global HIV/AIDS Initiative /								
Global Health and Child Survival	38,578,193	0	38,578,193	36,005,182	32,687,119	93.3%	84.7%	90.8%
HIV/AIDS Programs	32,491,932	0	32,491,932	30,105,328	26,843,491	92.7%	82.6%	89.2%
The Global Fund	6,088,590	0	6,088,590	5,899,854	5,843,628	96.9%	96.0%	99.0%
Development Credit Authority (DCA) Fund ³	(2,329)	0	(2,329)	n/a	n/a			
Foreign Military Financing	9,658	0	9,658	9,658	9,133	100.0%	94.6%	94.6%
Other Accounts ¹	132,931	9,500	123,431	123,431	114,658	92.9%	86.3%	92.9%
Labor-HHS-Education:	6,982,398	41,047	6,941,351	6,921,209	6,874,623	99.1%	98.5%	99.3%
Health and Human Services (HHS)	3,024,059	41,047	2,983,012	2,978,582	2,932,069	98.5%	97.0%	98.4%
Global AIDS Program (GAP)	1,213,911	41,047	1,172,863	1,168,433	1,128,290	96.3%	92.9%	96.6%
CDC HIV/AIDS International Research	22,783	0	22,783	22,783	22,783	100.0%	100.0%	100.0%
Prevention of Mother-to-Child Transmission	148,992	0	148,992	148,992	142,622	100.0%	95.7%	95.7%
The Global Fund	1,638,374	0	1,638,374	1,638,374	1,638,374	100.0%	100.0%	100.0%
HHS/Nat'l Institutes of Health Research	3,946,414	0	3,946,414	3,930,702	3,930,702	99.6%	99.6%	100.0%
Department of Labor	11,925	0	11,925	11,925	11,852	100.0%	99.4%	99.4%
Department of Defense:	68,226	958	67,268	63,889	55,824	93.6%	81.8%	87.4%
Total Emergency Plan	50,613,404	55,266	50,558,138	47,858,289	44,162,124	94.6%	87.3%	92.3%

¹ "Other Accounts" include the following appropriations: the Economic Support Fund (ESF), FREEDOM Support Act (FSA), and Support for East European Democracy (SEED).

² Information on a subsection of outlays for CSH are not available at this time.

³ Department of State, Office of the United States Global AIDS Coordinator transferred funding to the Development Credit Authority (DCA) to implement programs in Ethiopia, which decreased our FY2012 Appropriation to \$5,331,981,250.

⁴ "Expired Funds" includes cancelled funds and those funds that were not obligated by the end of that appropriation's cycle. However, those funds can still be used for upward adjustment until the account has been cancelled.

* Percentage round to nearest tenth

The Emergency Plan for AIDS Relief
Summary Financial Status By Country Activity As of June 30, 2014 ³
FY 2004 - 2013 Appropriations
(Dollars in Thousands)

Country Activities	Approved	Total	Total	FY 2004 - 2013		Obligations *	Outlays *	Outlays *
	Planned	Expired Funds	Available	Obligations	Outlays	as a % of	as a % of	as a % of
	FY 2004 - 2013	FY 2004 - 2013	FY 2004 - 2013			Available	Available	Obligated
Angola	114,289	1,079	113,209	107,893	95,719	94.4%	83.8%	88.7%
Asia Regional Program	35,770	187	35,583	30,841	21,753	86.2%	60.8%	70.5%
Botswana	656,810	1,362	655,448	610,903	545,657	93.0%	83.1%	89.3%
Burma	21,983	36	21,947	18,269	16,943	83.1%	77.1%	92.7%
Burundi	65,474	272	65,201	61,660	41,856	94.2%	63.9%	67.9%
Cambodia	175,420	542	174,879	173,473	159,312	98.9%	90.8%	91.8%
Cameroon	76,227	305	75,922	68,076	47,063	89.3%	61.7%	69.1%
Caribbean Regional	174,912	565	174,347	161,965	133,796	92.6%	76.5%	82.6%
Central America Regional	115,602	533	115,069	111,394	93,906	96.4%	81.2%	84.3%
Central Asia Regional	65,486	99	65,388	57,135	47,083	87.2%	71.9%	82.4%
Cote d'Ivoire	844,997	1,931	843,066	806,363	717,517	95.4%	84.9%	89.0%
Democratic Republic of Congo	249,990	944	249,046	234,995	207,990	94.0%	83.2%	88.5%
Dominican Republic	112,972	106	112,866	107,561	92,553	95.2%	81.9%	86.0%
Ethiopia	2,078,400	2,231	2,076,169	1,999,373	1,743,986	96.2%	83.9%	87.2%
Ghana	118,752	425	118,327	114,462	99,900	96.4%	84.1%	87.3%
Guyana	163,786	298	163,488	159,792	152,461	97.6%	93.1%	95.4%
Haiti	1,005,643	542	1,005,101	976,562	852,814	97.1%	84.8%	87.3%
India	270,359	624	269,735	267,453	231,589	98.9%	85.7%	86.6%
Indonesia	93,009	6	93,003	92,630	80,436	99.6%	86.5%	86.8%
Kenya	3,344,264	1,868	3,342,396	3,238,466	2,942,437	96.8%	88.0%	90.9%
Lesotho	193,030	494	192,535	189,478	157,564	98.2%	81.6%	83.2%
Malawi	423,414	1,086	422,329	410,388	349,023	96.9%	82.4%	85.0%
Mozambique	1,786,427	1,696	1,784,731	1,736,835	1,559,829	97.2%	87.3%	89.8%
Namibia	741,588	766	740,822	683,978	620,314	92.2%	83.6%	90.7%
Nigeria	3,246,336	1,386	3,244,950	3,057,018	2,810,205	94.2%	86.6%	91.9%
Papua New Guinea	24,596	344	24,252	21,965	19,407	89.3%	78.9%	88.4%
Rwanda	906,240	586	905,654	873,396	796,052	96.4%	87.8%	91.1%
South Africa	3,948,597	1,975	3,946,621	3,778,961	3,234,352	95.7%	81.9%	85.6%
South Sudan	94,371	222	94,149	87,741	73,392	93.0%	77.8%	83.6%
Swaziland	237,858	486	237,372	228,409	183,831	96.0%	77.3%	80.5%
Tanzania	2,334,434	1,374	2,333,060	2,204,635	1,980,529	94.4%	84.8%	89.8%
Uganda	2,329,540	1,028	2,328,512	2,242,758	2,035,205	96.3%	87.4%	90.7%
Ukraine	99,964	217	99,747	95,560	73,490	95.6%	73.5%	76.9%
Vietnam	645,511	908	644,603	573,130	534,740	88.8%	82.8%	93.3%
Zambia	2,018,657	643	2,018,014	1,922,483	1,716,099	95.2%	85.0%	89.3%
Zimbabwe	456,142	2,082	454,060	444,109	385,571	97.4%	84.5%	86.8%
Total PEPFAR Operational Plan Programs	29,270,850	29,250	29,241,600	27,950,113	24,854,372	95.5%	84.9%	88.9%

³ Planned and Obligated amounts are for country activity budgets only and exclude Central programs.

*Percentage round to nearest tenth

Overall Synopsis Of FY 2004 - FY 2013 Appropriations Of The Emergency Plan For AIDS Relief

1. Across FY 2004 through FY 2013, as a whole PEPFAR Operational Plan Program countries successfully met the seventy percent (70%) threshold. However, Asia Regional Programs, Burundi and Cameroon, came in under the seventy percent (70%) threshold based on "Outlays as a percentage of Available" funding and "Outlays as a percentage of Obligated" funding.

a. Based on "Outlays as a percentage of Available" funding, Asia Regional Program came in at a rate of 60.8%. Some factors that caused this low rate are: (1) due to delays in procurement process, USAID cannot obligated any funding that was planned during FY13; however, they plan to have things in place to implement the funding during FY14; (2) HHS expected delays in outlays as awards and sub-awards to various local partners and countries within the region are made. However, outlays on awards are expected to increase in the 4th quarter of FY 13 and 1st quarter of FY14.

months since the departure of the old Program Manager and hiring/training of new personnel and (2) within STATE-AF, since the departure of the Public Affairs Officer, the position has not been filled and Mission is looking for other ways to obligate the funding.

b. Based on "Outlays as a percentage of Available" funding, Cameroon came in at a rate of 61.7%. Some factors that caused this low rate are: (1) within PC there was a high staff turnover and volunteer safety concerns caused delays and cancellations of activities. Operations are planned to return to normal during fiscal year 2015; (2) because of the late arrival of funds and not enough time to execute those funds, DoD advised that Cameroon missed the target for obligation rates; and (3) due to the delay in the implementation of CDC national Public Health Lab Renovations projects for administration space and training laboratory that had been envisioned as single projects. However, in COP11 sufficient funding had not been identified to conduct the renovations concurrently.

2. OGAC continues to monitor both obligation and outlay rates and is working closely with all implementing agencies on the rates at which Countries obligate and outlay funds. OGAC is working to ensure the timely transfer of funds to agencies. These steps are expected to result in continued improvement in these rates.

The Emergency Plan for AIDS Relief
Summary Financial Status By Appropriation As Of June 30, 2014
FY 2004 - 2013 Appropriations
(Dollars in Thousands)

Agency/Program	Approved	Total	Total	FY 2004 - 2013		Obligations *	Outlays *	Outlays *
	Planned	Expired Funds	Available	Obligations	Outlays	as a % of	as a % of	as a % of
	FY 2004 - 2013	FY 2004 - 2013	FY 2004 - 2013			Available	Available	Obligated
STATE	873,509	0	873,509	479,761	408,401	54.9%	46.8%	85.1%
USAID	20,584,603	13,261	20,571,342	19,620,531	17,390,541	95.3%	84.5%	88.6%
HHS	14,894,907	41,047	14,853,860	14,071,468	12,840,303	94.5%	86.2%	91.3%
DoD	947,813	958	946,855	793,948	697,587	83.8%	73.6%	87.9%
DOL	21,226	0	21,226	20,950	20,471	98.7%	96.4%	97.7%
Peace Corps	213,528	0	213,528	162,061	151,476	75.9%	70.9%	93.5%
Pending Allocations	163,802	-	163,802	n/a	n/a	n/a	n/a	n/a
Sub-Total Focus and Other Country HIV/AIDS Activities	37,699,387	55,266	37,644,121	35,148,718	31,508,780	93.2%	83.6%	89.6%
HHS/NIH Research	3,946,414	0	3,946,414	3,930,702	3,930,702	99.6%	99.6%	100.0%
Global Fund	8,967,604	0	8,967,604	8,778,868	8,722,642	97.9%	97.3%	99.4%
Total Emergency Plan	50,613,404	55,266	50,558,138	47,858,289	44,162,124	94.6%	87.3%	92.3%

⁴ Reflects funds that have been notified to Congress and allocated to agencies as of June 31, 2014 as well as funds that are to be included in Congressional Notifications and allocated to agencies (shown in the "Pending Allocations" line) after June 31, 2014.

* Percentage round to nearest tenth